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PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Stoltze et al

Examiner: Unassigned

Application: 10/020,592

Group Art Unit: (3764)

Filed: December 12, 2001

Docket: 760-46 CIP/PCT/USA/CON 2

For: CATHETER WITH A STENT AND
METHOD FOR THE PRODUCTION
OF A CATHETER WITH STENT

Date: March 25, 2002

I hereby certify this correspondence is being deposited with
United States Postal Service as first class mail, postpaid in an
envelope, addressed to: Commissioner of Patents, Washington, D.C.

20231, on March 25, 2002

Signature Barbara Kemmlein

Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R. §1.56, Applicant hereby submits the following Information Disclosure Statement in accordance with the provisions of 37 C.F.R. §§1.97 and 1.98. It is understood that the information provided herein is solely for the purpose of fulfilling Applicant's obligations under the law and should not be construed as nor is it intended to be an admission of prior art.

As this Information Disclosure Statement is being submitted before the issuance of a first Office Action, it is believed to be timely filed in accordance with 37 C.F.R. §1.98(b)(1). If however, a fee is required, please debit Deposit Account No. 08-2461.

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I. U.S. PATENTS

| <u>U.S. PATENT NO.</u> | <u>TITLE</u> | <u>ISSUE DATE</u> |
|----------------------------------|--|-------------------|
| 4,878,906 to Lindemann et al. | Endoprosthesis For Repairing a Damaged Vessel | November 7, 1989 |
| 4,913,701 to Tower | Balloon Catheter and Method of Manufacturing the Same | April 3, 1990 |
| 5,026,377 to Hurton et al. | Stent Placement Instrument and Method | June 25, 1991 |
| 5,100,429 to Sinofsky et al. | Endovascular Stent and Delivery System | March 31, 1992 |
| 5,195,984 to Schatz | Expandable Intraluminal Graft | March 23, 1993 |
| 5,201,901 to Harada et al. | Expansion Unit and Apparatus For Expanding Tubular Organ Lumen | April 13, 1993 |
| 5,290,306 to Trotta et al. | Puncture Resistant Balloon Catheter | March 1, 1994 |
| 5,292,321 to Lee | Thermal Balloon Angioplasty With Thermoplastic Stent | March 8, 1994 |
| 5,484,449 to Amundson et al. | Temporary Support For a Body Lumen and Method | January 16, 1996 |
| 5,505,699 to Forman et al. | Angioplasty Device | April 9, 1996 |
| 5,569,295 to Lam | Expandable Stents and Method For Making Same | October 29, 1996 |
| 5,603,721 to Lam et al. | Expandable Stents and Method For Making Same | February 18, 1997 |
| 6,077,273 to Euteneuer et al. | Catheter Support For Stent Delivery | June 20, 2000 |

II. FOREIGN PATENT DOCUMENTS

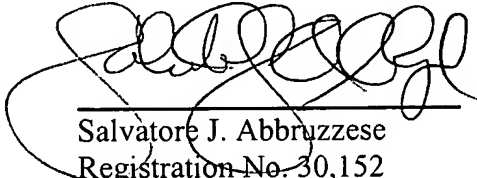
| <u>Publication No.</u> | <u>Country</u> | <u>Publication Date</u> |
|------------------------|----------------|-------------------------|
| WO 94/05364 | PCT | March 17, 1994 |
| WO 00/53123 | PCT | September 14, 2000 |

Copies of each of the references have been previously cited in related application, U.S. Serial No. 09/711,034, filed November 13, 2000. Accordingly, the Examiner is invited to refer to such prior application for copies of each of the references. Copies of references not previously cited are submitted herewith

All of the references listed above are also listed on Applicant's Substitute Form PTO-1449 which is attached to this Information Disclosure Statement for the convenience of the Examiner.

Should the Examiner have any questions or comments with regard to this Information Disclosure Statement, the Examiner is respectfully requested to contact undersigned counsel.

Respectfully submitted,



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Registration No. 30,152
Attorney for Applicants

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|---|---|--------------------------|
| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | ATTY. DOCKET NO. 760-46 CIP/PCT/USA/CON 2 | SERIAL NO. 10/020,592 |
| | APPLICANT Stoltze et al. | CONFIRMATION NO. |
| | FILING DATE December 12, 2001 | GROUP 3764 |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|---------------------|--------------------|----------|------------------|-------|--------------|-------------------------------|
| | 4,878,906 | 11/07/89 | Lindemann et al. | | | |
| | 4,913,701 | 04/03/90 | Tower | | | |
| | 5,026,377 | 06/25/91 | Hurton et al. | | | |
| | 5,100,429 | 03/31/92 | Sinofsky et al. | | | |
| | 5,195,984 | 03/23/93 | Schatz | | | |
| | 5,201,901 | 04/13/93 | Harada et al. | | | |
| | 5,290,306 | 03/01/94 | Trotta et al. | | | |
| | 5,292,321 | 03/08/94 | Lee | | | |
| | 5,484,449 | 01/16/96 | Amundson et al. | | | |
| | 5,505,699 | 04/09/96 | Forman et al. | | | |
| | 5,569,295 | 10/29/96 | Lam | | | |
| | 5,603,721 | 02/18/97 | Lam et al. | | | |
| | 6,077,273 | 06/20/00 | Euteneuer et al. | | | |
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|---|---|--------------------------|
| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | ATTY. DOCKET NO. 760-46 CIP/PCT/USA/CON 2 | SERIAL NO. 10/020,592 |
| | APPLICANT Stoltze et al. | CONFIRMATION NO. |
| | FILING DATE December 12, 2001 | GROUP 3764 |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB CLASS | TRANSLATION | |
|---------------------|--|--------------------|----------|---------|-------|--------------|-------------|----|
| | | | | | | | YES | NO |
| | | WO 94/05364 | 03/17/94 | PCT | | | | |
| | | WO 00/53123 | 09/14/00 | PCT | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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